

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	09548235
Filing Date	2000-04-12
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600011

## **U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

## **U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09548235
Filing Date	2000-04-12
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600011

1	Neuman, B. Clifford and Ts'o Theodore, "Kerberos: An Authentication Service for Computer Networks", USC/ISI Technical Report Number ISI/RS-94-399, Vol. 32, Number 9, pages 33-38, September 1994, 10 pages.	<input type="checkbox"/>
2	Lavenant, M.G. and Kruper, J.A., "The Phoenix Project: Distributed Hypermedia Authoring", Biological Sciences Division Academic Computing, The University of Chicago, Chicago, Illinois, undated, 6 pages.	<input type="checkbox"/>
3	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Soverain's Responses and Objections to Defendants' First Set of Interrogatories, dated June 11, 2008, 294 pages.	<input type="checkbox"/>
4	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Soverain's Supplemental Responses and Objections to Defendants' First Set of Interrogatories, May 20, 2009, 60 pages.	<input type="checkbox"/>
5	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Claim Construction Order, May 28, 2009, 6 pages.	<input type="checkbox"/>
6	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Soverain's Second Supplemental Responses and Objections to Defendants' First Set of Interrogatories, July 17, 2009, 21 pages.	<input type="checkbox"/>
7	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Expert Report of Edward R. Tittle, dated July 23, 2009, 44 pages.	<input type="checkbox"/>
8	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Defendant Newegg's Motion for Summary Judgment that the '639 Patent is not Entitled to Claim the Benefit of the Filing Date of its Parent Application, and That the Asserted Claims of the '639 Patent are Therefore Invalid, and Brief in Support Thereof with Exhibits, August 17, 2009.	<input type="checkbox"/>
9	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Newegg Inc.'s Second Supplemental Invalidity Contentions, August 26, 2009, 124 pages.	<input type="checkbox"/>
10	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Supplemental Report of Edward R. Tittle, dated August 28, 2009, 14 pages.	<input type="checkbox"/>
11	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Soverain's Responses and Objections to Newegg's Second Set of Interrogatories, dated August 31, 2009, 13 pages.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09548235
Filing Date	2000-04-12
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600011

12	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Plaintiff Soverain's Opposition to Newegg's Motion for Summary Judgment of Invalidity of the '639 Patent with Exhibits, dated September 11, 2009.	<input type="checkbox"/>
13	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Defendant Newegg's Reply to Plaintiff Soverain's Opposition to Newegg's Motion for Summary Judgment Regarding the Improper Priority Claim and Invalidity of the '639 Patent with Exhibit, September 18, 2009, 15 pages.	<input type="checkbox"/>
14	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Plaintiff Soverain's Surreply in Opposition to Newegg's Motion for Summary Judgment of Invalidity of the '639 Patent, September 28, 2009, 12 pages.	<input type="checkbox"/>
15	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Plaintiff Soverain's Opposition to Newegg's Motion for Summary Judgment of Invalidity of the "Shopping Cart Claims" in U.S. Patents No. 5,715,314 and No. 5,909,492 with Exhibits, October 13, 2009.	<input type="checkbox"/>
16	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Newegg's Reply to Plaintiff's Response to Newegg's Motion for Summary Judgment of Invalidity of the "Shopping Cart Claims" in U.S. Patents No. 5,715,314 and 5,909,492, dated October 21, 2009, 4 pages.	<input type="checkbox"/>
17	Soverain Software v. CDW Corporation et al., (6:07-CV-511), Plaintiff Soverain's Surreply on Newegg's Motion for Summary Judgment of Invalidity of the "Shopping Cart Claims" in U.S. Patents No. 5,715,314 and No. 5,909,492, dated October 29, 2009, 4 pages.	<input type="checkbox"/>
18	Soverain Software v. J.C. Penney Corporation et al., (6:09-CV-274), Defendants' Joint Invalidity Contentions and Production of Documents Pursuant to Patent Rules 3-3 and 3-4(b) with Exhibits, February 2010.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Patrice Winder/	Date Considered	10/25/2010
--------------------	------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.